

Homework 1: DUE April 26th, by 11:45pm Electronically

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Recall all homework is due electronically via the link <https://catalyst.uw.edu/collectit/dropbox/bilmes/14888>. Please use PDF if possible.

**Problem 1. Min of submodular and constant**

We saw in class that if  $f$  is a **nondecreasing** submodular set function on  $S$ , and  $q$  is a real number, then the function  $f'$  given by

$$f'(U) = \min \{q, f(U)\} \text{ for } U \subseteq S \quad (1)$$

is submodular.

**Problem 1(a)** Is monotonicity required? If so, show that monotonicity cannot be removed. If not, show that monotonicity is not critical for this property to be true.

**Problem 1(b)** What about non-increasing (decreasing) functions in min? That is, is submodularity preserved in this case as well when  $f$  is monotone non-increasing? Prove or give a counterexample.

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**Problem 2. matroid**

Prove the following theorem.

**Theorem 1.** If  $\mathcal{V} = (V_i : I \in I)$  is a finite family of non-empty subsets of  $V$ , and  $f : 2^V \rightarrow \mathbb{Z}_+$  is a non-negative, integral, monotone non-decreasing, and submodular function, then for any integer  $d \leq |I|$ ,  $\mathcal{V}$  has a system of representatives  $(v_i : i \in I)$  such that

$$f(\cup_{i \in J} \{v_i\}) \geq |J| - d \text{ for all } J \subseteq I \quad (2)$$

if and only if

$$f(V(J)) \geq |J| - d \text{ for all } J \subseteq I \quad (3)$$

You may use any of the theorems from the slides in this class (you may find it useful to look at the slides from lecture 4).

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**Problem 3. Difference of two submodular functions**

Recall, from lecture 1, that in a directed graph, we define  $E^+(X, Y) = \{(x, y) \in E(G) : x \in X \setminus Y, y \in Y \setminus X\}$  as the edges from  $X$  to  $Y$ ,  $\delta^+(X) = E(X, V \setminus X)$  as the edges leaving  $X$ , and  $\delta^-(X) = E(V \setminus X, X)$  as the edges entering  $X$ .

**Problem 3(a)** Consider the set function  $f(A) = |\delta^+(A)| - |\delta^-(V \setminus A)|$ . Is this function submodular, supermodular, modular, or neither? Determine which one and prove it.

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**Problem 4. Concave over modular**

Recall from lecture two. Let  $m \in \mathbb{R}_+^E$  be any non-negative modular function, and  $g$  a concave function over  $\mathbb{R}$ . Define  $f : 2^E \rightarrow \mathbb{R}$  as

$$f(A) = g(m(A)) \quad (4)$$

then we stated and partially proved that  $f$  is submodular.

**Problem 4(a)** Prove this theorem in its entirety (the proof in lecture 2 was incomplete).

**Problem 4(b)** Prove the converse of this theorem, i.e, that if an  $f$  formed in such a way is submodular, than it must be that  $g$  is a concave function.

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### Problem 5. Matroids

Recall from lecture three the axioms for a matroid (I1), (I2), and (I3). Recall also from this lecture that we stated that we can replace (I3) with the following condition.

**Proposition 2.** *In a matroid  $M = (E, \mathcal{J})$ , for any  $U \subseteq E(M)$ , any two bases of  $U$  have the same size.*

**Problem 5(a)** In this problem, you are to show that this is true.

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### Problem 6. Other Min/Max

Let  $\{m_i\}_i$  be a finite set of modular functions each  $m_i : 2^V \rightarrow \mathbb{R}$ , and let  $\{m_i^+\}_i$  be a finite set of non-negative modular functions each  $m_i^+ : 2^V \rightarrow \mathbb{R}_+$ . Please solve the following problems and please show all work/derivations.

**Problem 6(a)** Suppose you form function  $r_m$  as follows:

$$r_m(A) = \min_i m_i(A) \quad (5)$$

In general, is  $r_m$  submodular, supermodular, modular, or neither?

**Problem 6(b)** Suppose you form function  $r_m^+$  as follows:

$$r_m^+(A) = \min_i m_i^+(A) \quad (6)$$

In general, is  $r_m^+$  submodular, supermodular, modular, or neither?

**Problem 6(c)** Suppose you form function  $r_M$  as follows:

$$r_M(A) = \max_i m_i(A) \quad (7)$$

In general, is  $r_M$  submodular, supermodular, modular, or neither?

**Problem 6(d)** Suppose you form function  $r_M^+$  as follows:

$$r_M^+(A) = \max_i m_i^+(A) \quad (8)$$

In general, is  $r_M^+$  submodular, supermodular, modular, or neither?

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### Problem 7. Products

Given finite ground set  $V$ , and given  $w_d \in [0, 1]$  for all  $d \in V$ , define

$$f(S) = \prod_{d \in S} w_d \quad (9)$$

where  $f(\emptyset) = 1$ .

**Problem 7(a)** Is this submodular, supermodular, modular, or neither?

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